

**MAGGIE
WU**

Tech Lead

Foresight Ventures**DR. CATHIE
SO**

ZKML Researcher

PSE Team, EF**TECH TALK**

3:30 PM - 4:30 PM

TOPIC**Proof Recursion and Its Use in Practical ZKML****Presented By****Wednesday July 19th**
11:00 AM - 08:00 PM17 Rue de l'Aubrac,
75012 Paris, France

THE FIRM

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PROOF RECURSION

- \\ Why do we care about proof recursion?
- \\ An evolution of recursion and the folding scheme.
- \\ More innovations based on folding schemes.

Motivation: Why Do We Care About Proof Recursion?

Challenges in Using Zero-Knowledge Proofs:

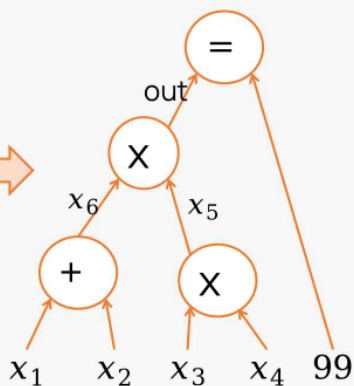
- Large and complex programs: Impractical to prove using a single monolithic zkp.
- Large proof sizes: Too big for blockchain verification.

Program

```
fn cal(x1: u128, x2: u128,  
x3: u128, x4: u128) {  
  
  tempvar x6 = x1 + x2  
  tempvar x5 = x3 * x4  
  assert x6 * x5 = 99;  
  
}
```



Arithmetization
* Using HDL
* Using library
* Using compiler



Circuits and constraints

i	w_a	w_b	w_c
1	0	0	out
2	x ₆	x ₅	out
3	x ₁	x ₂	x ₆
4	x ₃	x ₄	x ₅



Execution and Proving
* ZKP proving system

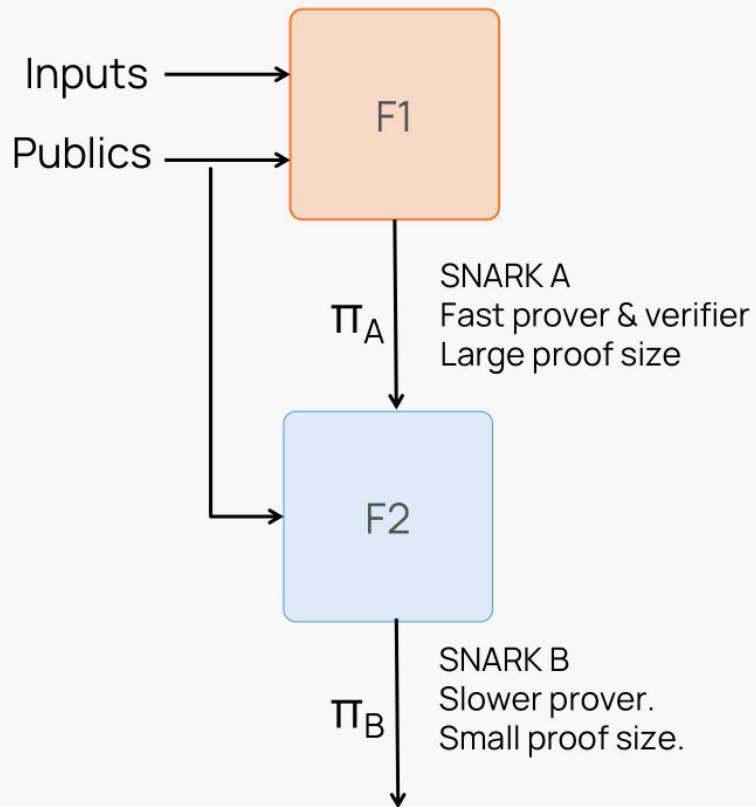
Proof

Π

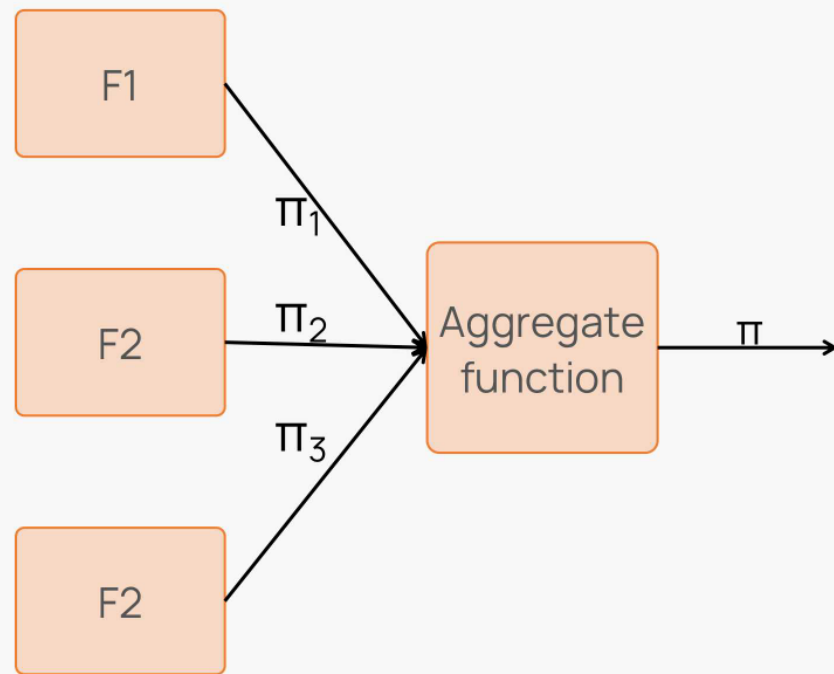
Motivation: Why Do We Care About Proof Recursion?



Proof Composition



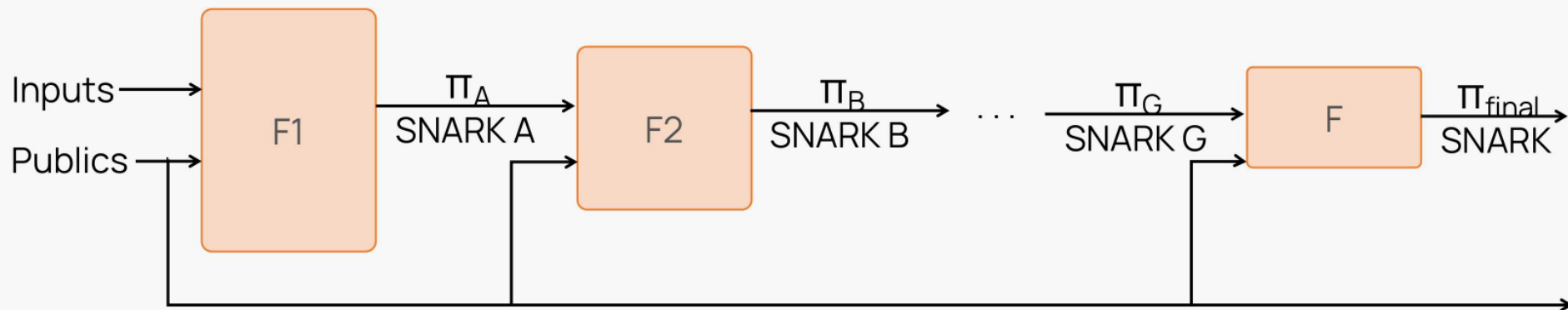
Proof Aggregation



🏠 Motivation: Why Do We Care About Proof Recursion?

Proof Recursion

At each step, the SNARK proof system will prove that the verifier of the former proof system would accept the witness of that step.

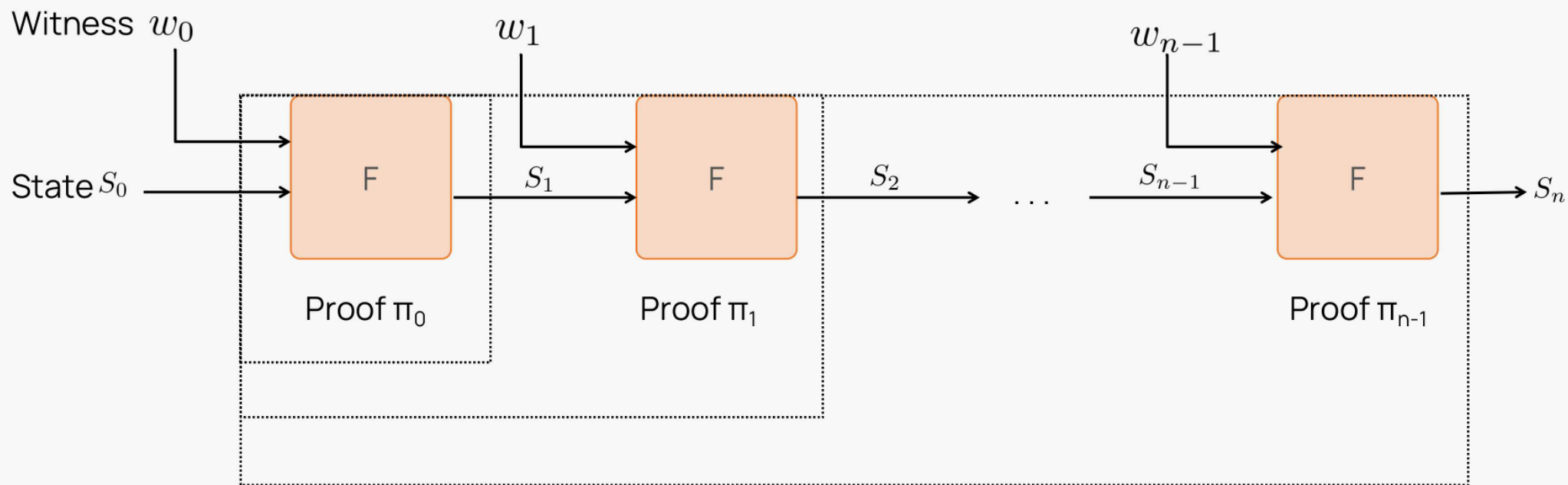


🏠 Motivation: Why Do We Care About Proof Recursion?

Incrementally Verifiable Computation (IVC)

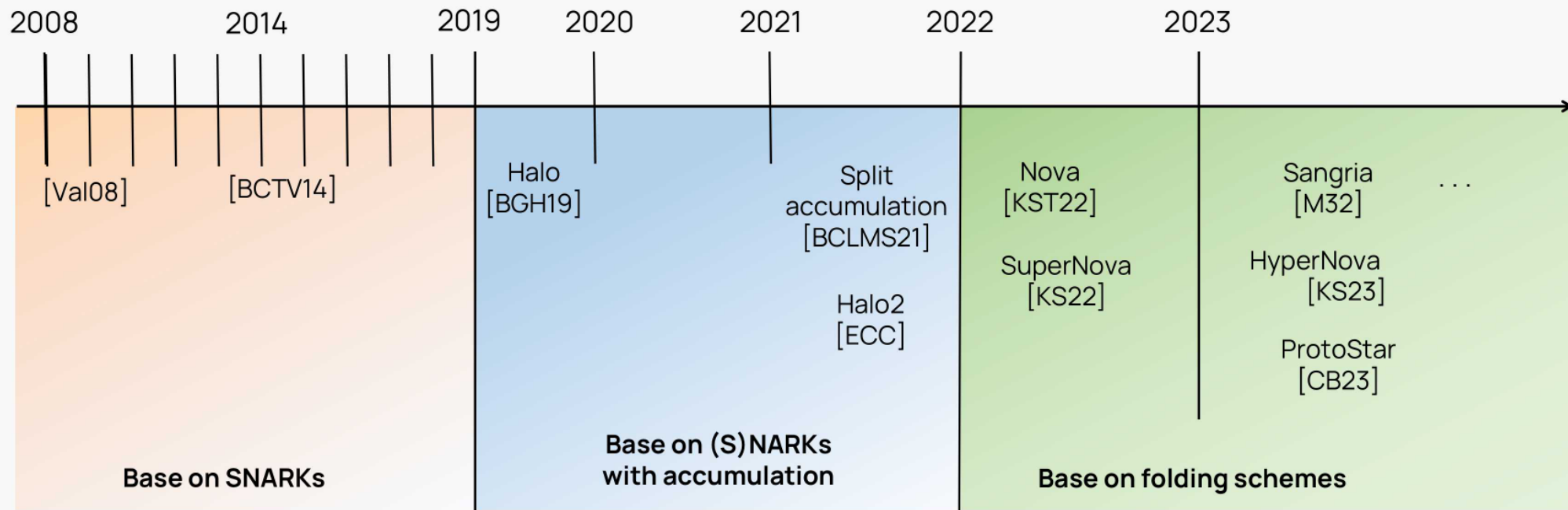
Enable long computation proving by break it into steps.

Verify state of chain by checking one recursive proof.



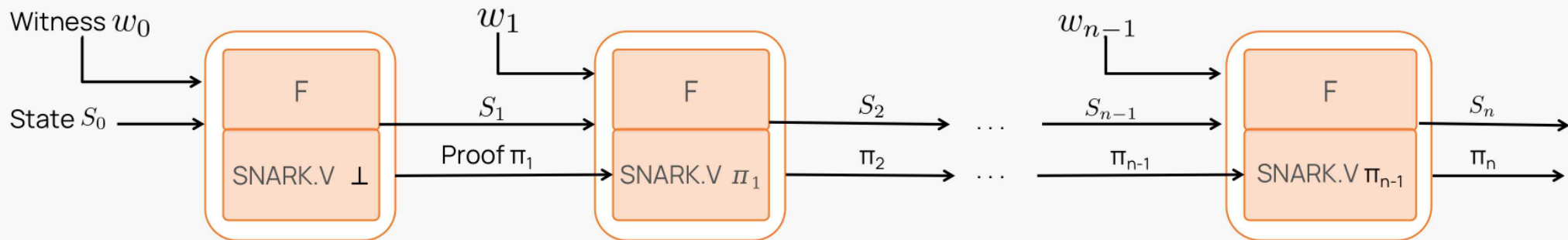
Used in succinct blockchains (e.g. Mina blockchain), zkML, VDF

An Evolution of IVC

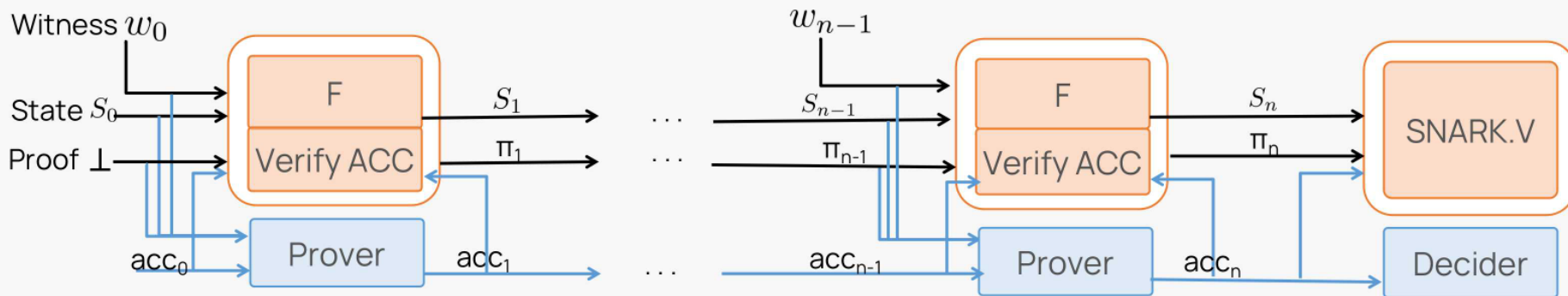


An Evolution of IVC

Base on SNARKs [Val08, BCTV14]



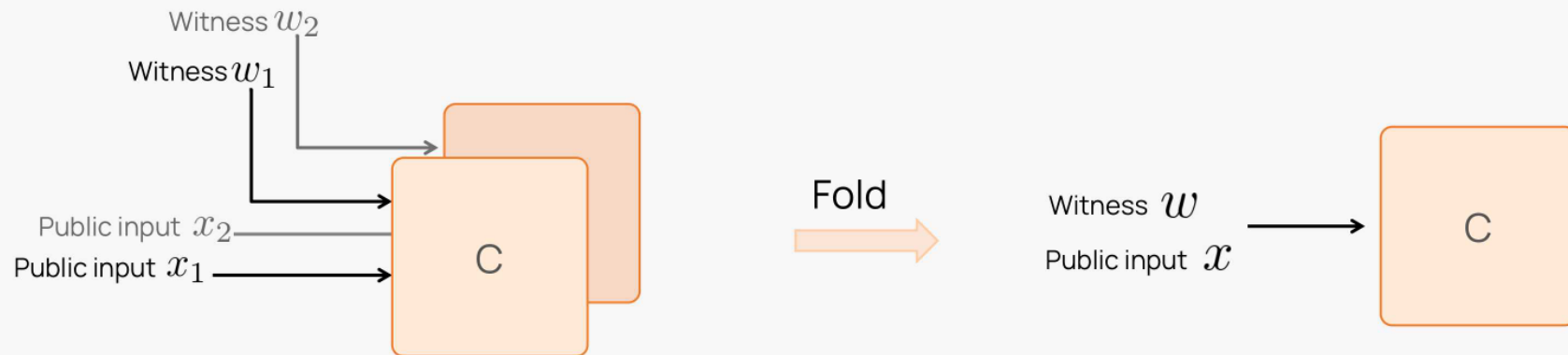
Base on (S)NARKs with accumulation [Halo, Halo2, ..]



An Evolution of IVC

A Folding Scheme: compress two instances into one

Let $C : \mathbb{F}_p^n \times \mathbb{F}_p^m \rightarrow \mathbb{F}_p$ be a circuit.



If $C(x_1, w_1) = C(x_2, w_2) = 0$ then $C(x, w) = 0$

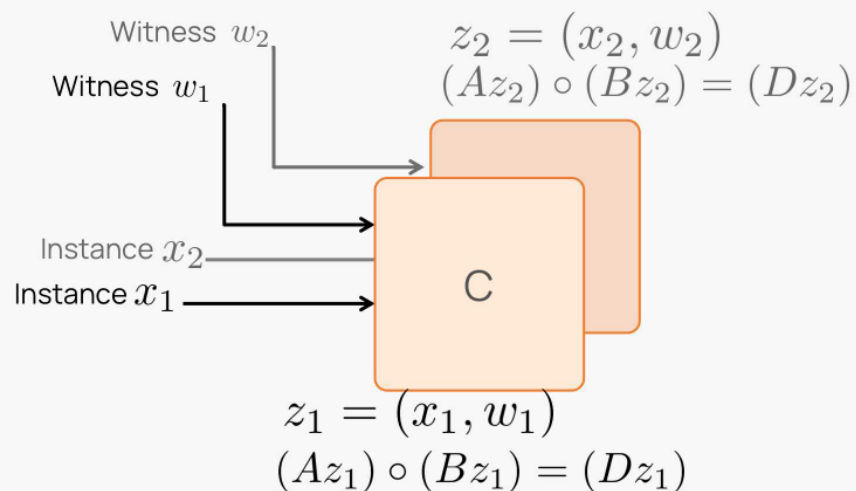
If $C(x, w) = 0$ is valid, the prover must know the valid $(x_1, w_1)(x_2, w_2)$

Nova - Folding Scheme

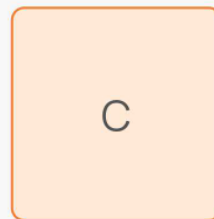
Attempt 1: Fold two R1CS instances into one.

R1CS Instance: $I = (x), A, B, D$

Witness: w , s.t. $(Az) \circ (Bz) = (Dz)$, where $z = (x, w)$



Fold



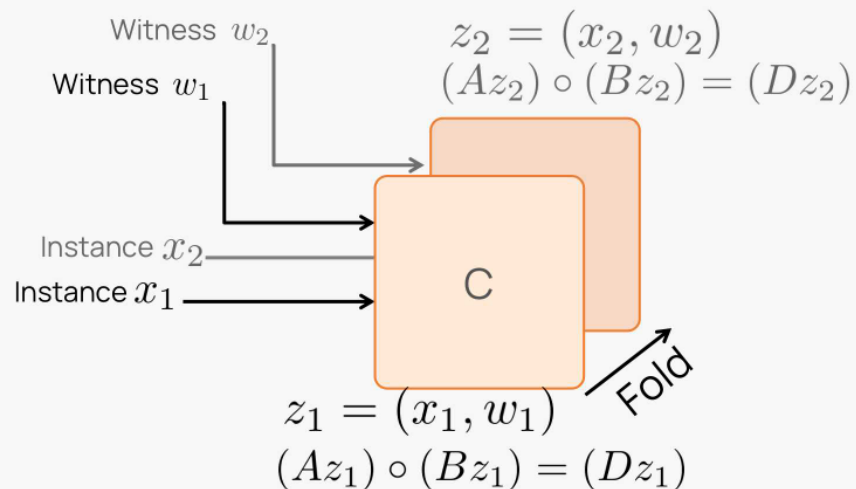
$$z = z_1 + rz_2$$
$$z = (x, w)$$
$$(Az) \circ (Bz) = (Dz)?$$

Nova - Folding Scheme

Attempt 1: Fold two R1CS instances into one.

R1CS Instance: $I = (x), A, B, D$

Witness: w , s.t. $(Az) \circ (Bz) = (Dz)$, where $z = (x, w)$



$z = z_1 + rz_2$, we want $(Az) \circ (Bz) = (Dz)$

$$\begin{aligned} & (Az) \circ (Bz) \\ &= A(z_1 + rz_2) \circ B(z_1 + rz_2) \\ &= Az_1 \circ Bz_1 + r^2(Az_2 \circ Bz_2) + \boxed{r(Az_1 \circ Bz_2 + Az_2 \circ Bz_1)} \\ &= Dz_1 + r^2Dz_2 + E \\ &\neq Dz_1 + rDz_2 = Dz \end{aligned}$$

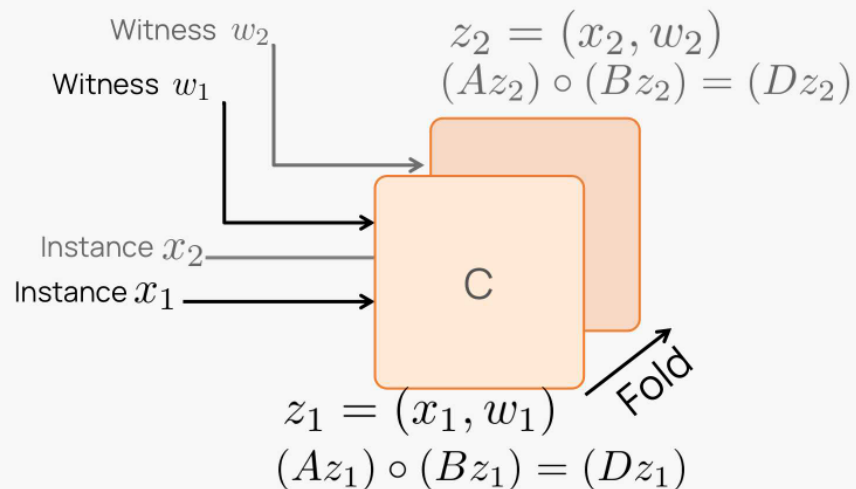
Issue: The folded instance is not valid!
 $(Az) \circ (Bz) \neq (Dz)$

Nova - Folding Scheme

Attempt 1: Fold two R1CS instances into one.

R1CS Instance: $I = (x), A, B, D$

Witness: w , s.t. $(Az) \circ (Bz) = (Dz)$, where $z = (x, w)$



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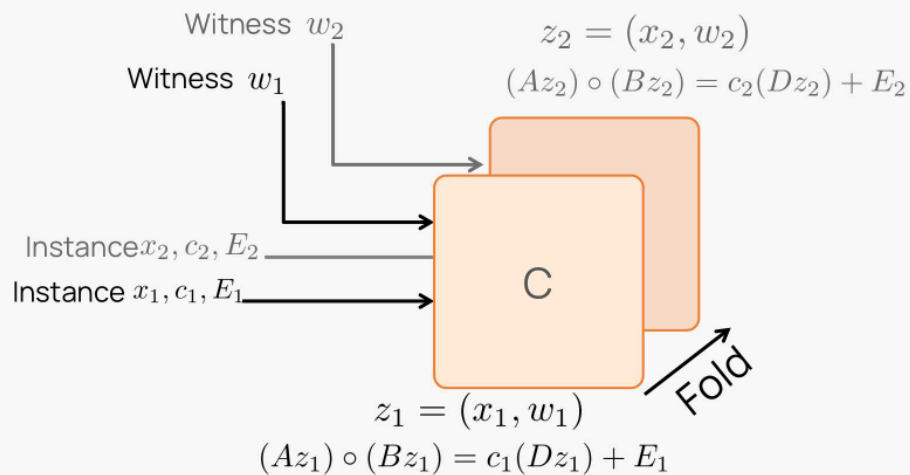
Let allow some noise.

$$(Az) \circ (Bz) = c(Dz) + E$$

Attempt 2: Fold two relaxed R1CS instances into one

Relaxed R1CS Instance: $I = (x, c, E), A, B, D$

Witness: w , s.t. $(Az) \circ (Bz) = c(Dz) + E$, where $z = (x, w)$



$z = z_1 + rz_2$, we want $(Az) \circ (Bz) = c(Dz) + E$

$$\begin{aligned}
 & (Az) \circ (Bz) \\
 &= A(z_1 + rz_2) \circ B(z_1 + rz_2) \\
 &= Az_1 \circ Bz_1 + r^2(Az_2 \circ Bz_2) + r(Az_1 \circ Bz_2 + Az_2 \circ Bz_1) \\
 &= (c_1Dz_1 + E_1) + r^2(c_2Dz_2 + E_2) + r(Az_1 \circ Bz_2 + Az_2 \circ Bz_1) \\
 &= (c_1 + rc_2) \circ D(z_1 + rz_2) + E \\
 &= cDz + E \quad \checkmark
 \end{aligned}$$

E is the error term, T includes all the cross terms

$$E = E_1 + r^2E_2 + rT$$

$$T = Az_1 \circ Bz_2 + Az_2 \circ Bz_1 - c_1Dz_2 - c_2Dz_1$$

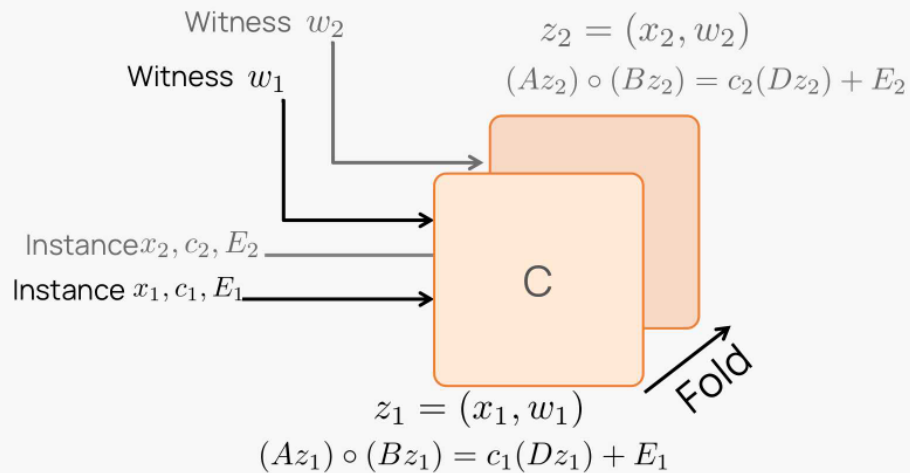
$$c = c_1 + rc_2$$

Nova - Folding Scheme

Attempt 2: Fold two relaxed R1CS instances into one

Relaxed R1CS Instance: $I = (x, c, E), A, B, D$

Witness: w , s.t. $(Az) \circ (Bz) = c(Dz) + E$, where $z = (x, w)$



$$z = z_1 + rz_2, (Az) \circ (Bz) = c(Dz) + E$$

$$(Az) \circ (Bz)$$

$$= A(z_1 + rz_2) \circ B(z_1 + rz_2)$$

Issue: E extracts from witnesses.
Not zk and not non-trivial!

$$= cDz + E \quad \checkmark$$

E is the error term, T includes all the cross terms

$$E = E_1 + r^2 E_2 + rT$$

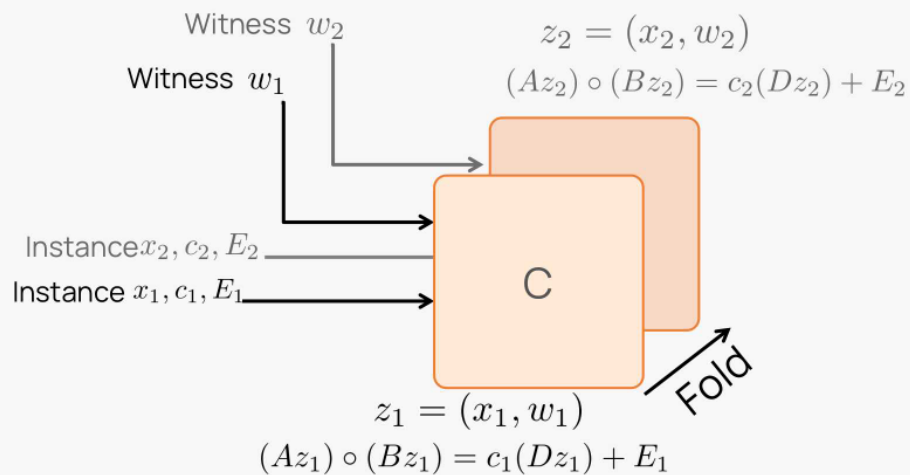
$$T = Az_1 \circ Bz_2 + Az_2 \circ Bz_1 - c_1 Dz_2 - c_2 Dz_1$$

$$c = c_1 + rc_2$$

Attempt 2: Fold two relaxed R1CS instances into one

Relaxed R1CS Instance: $I = (x, c, E), A, B, D$

Witness: w , s.t. $(Az) \circ (Bz) = c(Dz) + E$, where $z = (x, w)$



$$z = z_1 + rz_2, (Az) \circ (Bz) = c(Dz) + E$$

$$(Az) \circ (Bz)$$

$$= A(z_1 + rz_2) \circ B(z_1 + rz_2)$$

Let's use commitments of T and E when folding the instances.

$$= cDz + E \quad \checkmark$$

E is the error term, T includes all the cross terms

$$E = E_1 + r^2 E_2 + rT$$

$$T = Az_1 \circ Bz_2 + Az_2 \circ Bz_1 - c_1 Dz_2 - c_2 Dz_1$$

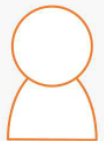
$$c = c_1 + rc_2$$

Nova - Folding Scheme

Final Proposal (simplified): Fold two committed relaxed R1CS instances into one.

Committed Relaxed R1CS Instance: $I = (x, c, \text{com}(E, r_E))$ (Simplified)

Witness: (w, E, r_E) s.t. $(Az) \circ (B) = c(Dz) + E$, $\text{com}_E = \text{commit}(E, r_E)$, where $z = (x, w, E, r_E)$



Folding Prover

$z_1 = (x_1, c_1, E_1, r_{E_1}), I_1$

$z_2 = (x_2, c_2, E_2, r_{E_2}), I_2$

Compute:

$$T = Az_1 \circ Bz_2 + Az_2 \circ Bz_1 \\ - c_1(Dz_2) - c_2(Dz_1)$$

Compute:

$$c = c_1 + rc_2, \quad x = x_1 + rx_2$$

$$w = w_1 + rw_2$$

$$E = E_1 + r^2E_2 + rT$$

$$r_E = r_{E_1} + r^2r_{E_2} + rr_T$$

$$\text{Get } I = (x, c, \text{com}_E), z = (x, w, E, r_E)$$

Send $\text{com}_T = \text{commit}(T, r_T)$

Send r (Use Fiat-Shamir make non-interactive)



Folding Verifier

$I_1 = (x_1, c_1, \text{com}(E_1, r_{E_1}))$

$I_2 = (x_2, c_2, \text{com}(E_2, r_{E_2}))$

Compute:

$$c = c_1 + rc_2, \quad x = x_1 + rx_2$$

$$\text{com}_E = \text{com}_{E_1} + r^2\text{com}_{E_2} + r\text{com}_T$$

$$\text{Get } I = (x, c, \text{com}_E)$$

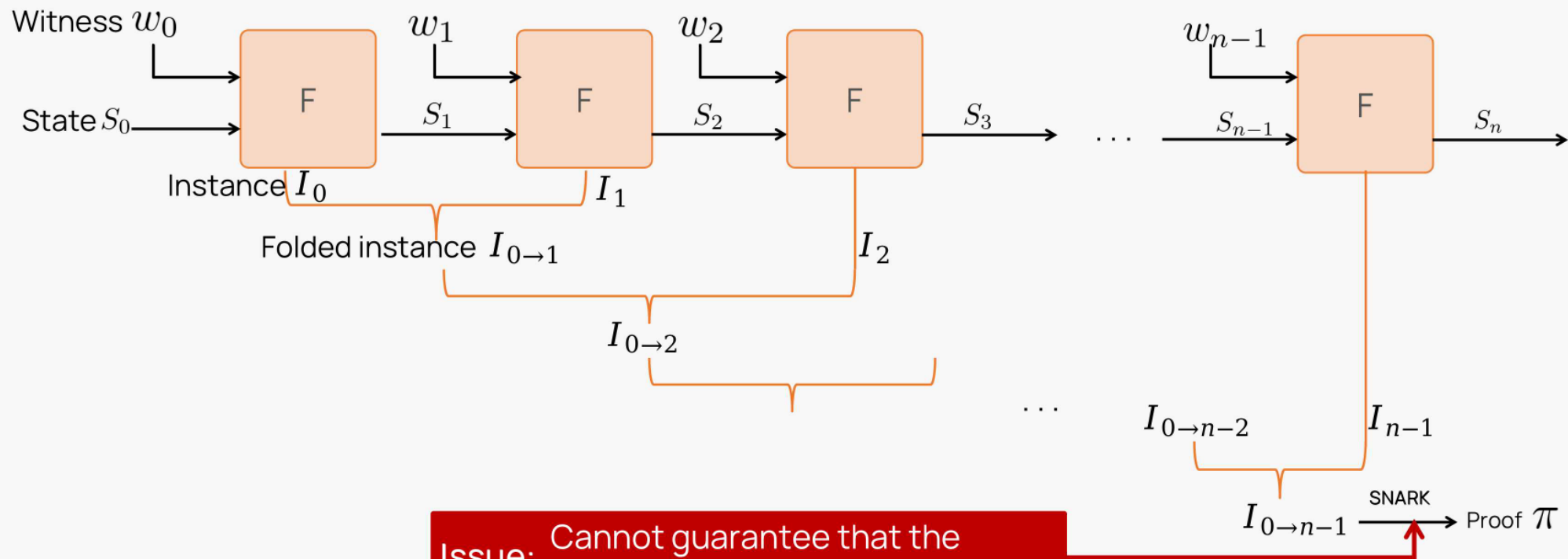


Note: The commitment scheme must be additively homomorphic

Nova - Folding-Based IVC

Represent steps as committed relaxed R1CS instance-witness pairs and fold them.

$F: S_{i+1} = F(S_i)$ is an iterate function.



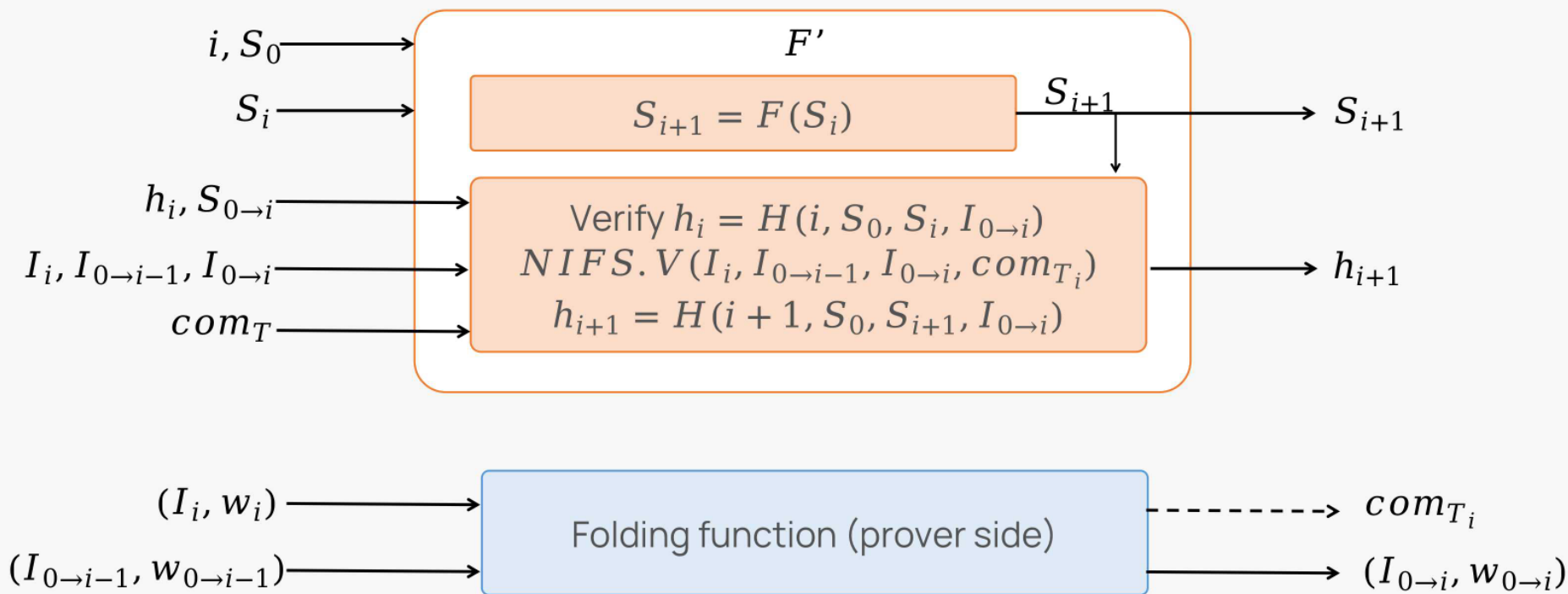
Issue: Cannot guarantee that the folding has done correctly

Nova - Folding-Based IVC

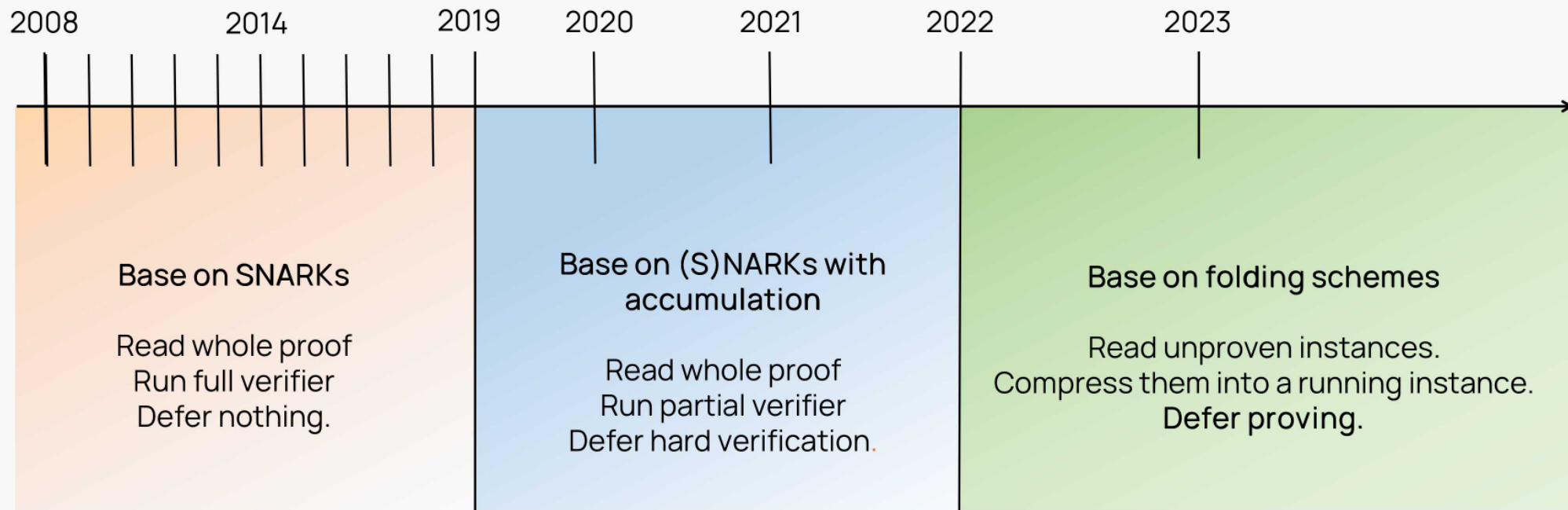
Verify folding in the folding-based IVC function F' .

$F: S_{i+1} = F(S_i)$ is a iterate function.

(I, w) is the committed relaxed R1CS instance-witness pair of function F .

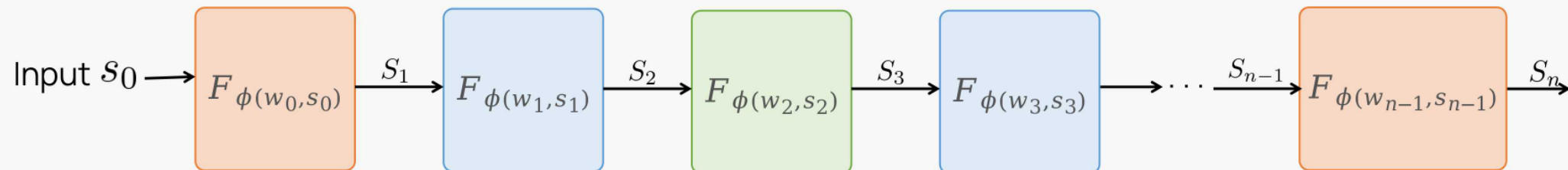


🏠 Folding-Based IVCs Incur the Lowest Recursion Overhead



SuperNova: A Nova Generalization to Semi-structured Circuits

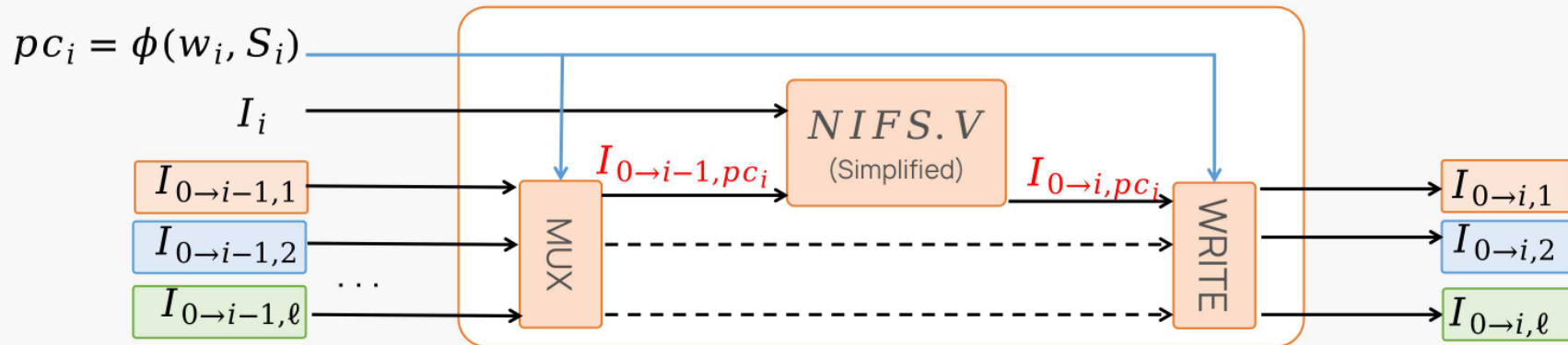
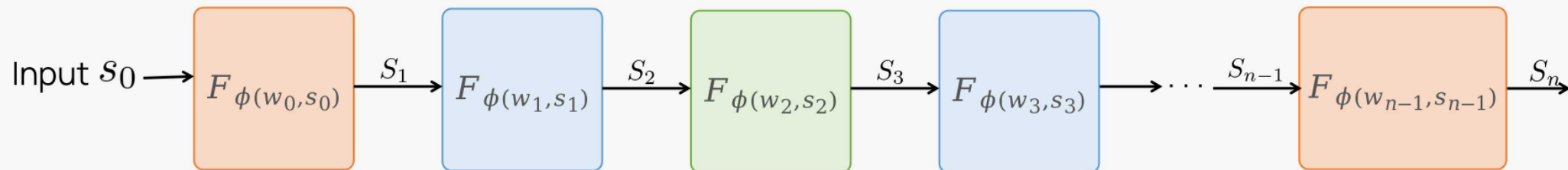
SuperNova supports multiple types of functions in the IVC chain (Non-uniform IVC).



SuperNova: A Nova Generalization to Semi-structured Circuits



SuperNova applies Nova to each set of F_i separately.



Sangria: A Folding Scheme for PLONK











Sangria expands the folding scheme to PLONKish arithmetic system

PLONKish arithmetic format

- Supports addition and multiplication constraints, copy constraints, and custom constraints including lookup constraints.
- Very **flexible** to meet different circuit needs.
- Lookup constraints enable zk-unfriendly functions to be **precomputed for better performance**.

Sangria supports addition and multiplication constraints, copy constraints, and degree 2 custom constraints.

Folding-based IVCs are very efficient in proving long computations.

	Introduction	Arithmetic circuit formats	Lookup Constraints	Non-uniform
Nova	A folding scheme for R1CS	R1CS		
SuperNova	A Nova generalization to semi-structured circuits	R1CS		
Sangria	A folding scheme for PLONK	PLONKish (Degree 2)		
HyperNova	A multi-folding scheme for CCS	CCS (Degree d)		
ProtoStar	A non-uniform IVC scheme for Plonk that supports high-degree gates and (vector) lookups.	PLONKish/CCS (Degree d)		



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